01-03-06

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission 36	Filing Date First Named Inventor Art Unit Examiner Name  Attorney Docket Number	Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE lection of information unless it displays a valid OMB control number.  10/501,791  July 2, 2004  Yoshifumi Kachi  1763  Ram N. Kackar  39.026-AG
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement	Drawing(s) Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocatio Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s)  Landscape Table on CE	Address Status Letter Other Enclosure(s) (please Identify below):
Firm Name  Judge Patent Firm  Signature  Printed name  James W. Judge  Date  CERT  I hereby certify that this correspondence is being for	FICATE OF TRANSMISS	Reg. No. 42,701

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Date



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.

10/501,791

Confirmation No. 6914

**Applicant** 

Yoshifumi Kachi, et al.

Filed

July 2, 2004

T.C./A.U.

1763

Examiner

Ram N. Kackar

Docket No.

39.026-AG

Customer No.

29453

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

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This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The attached documents listed on the accompanying Form PTO/SB/08 are hereby brought to the Examiner's attention in the patent application identified above.

### **REMARKS**

All of the presently submitted references, i.e., Cite Nos. F1 through F3 as listed on Form PTO/SB/08, are not in English. These references were cited in a communication from the Japanese Patent Office in a counterpart Japanese application.

# Concise Explanation of Relevance/Copy of Translation Pursuant to 37 C.F.R. § 1.98(a)(3)

As a concise explanation of the relevance of the presently submitted Japanese-language references, an English translation of the Japanese Patent Office communication in its entirety is submitted herewith. The JPO communication was in Japanese Pat. App. No. 2002-309388, the foreign patent application on the basis of which priority is claimed in the present application. (A copy of the Japanese Patent Office communication in its entirety follows the English translation.)

Furthermore, English abstracts of Cite Nos. F1 through F3 are available on the Japanese Patent Office website through Patent Abstracts of Japan (PAJ), and a copy of the corresponding abstract is attached to the back of each reference.

## STATEMENT AS SPECIFIED IN 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

The Examiner is respectfully requested to consider these references, and to indicate so on the accompanying Form PTO/SB/08 and make the document of record in the present application.

Respectfully submitted,

James W∖ Judgè

Registration No. 42,704

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Substitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/501,791				
Filing Date	July 2, 2004				
First Named Inventor	Yoshifumi Kachi				
Art Unit	1763				
Examiner Name	Ram N. Kackar				
Attorney Docket Number	39.026-AG				

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FORE	IGN PATENT DOCL	JMENTS		
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	F1	JP-2002-252269-A	09-06-2002	Toshiba Ceramics		1
	F2	JP-2001-244320-A	09-07-2001	Ibiden		~
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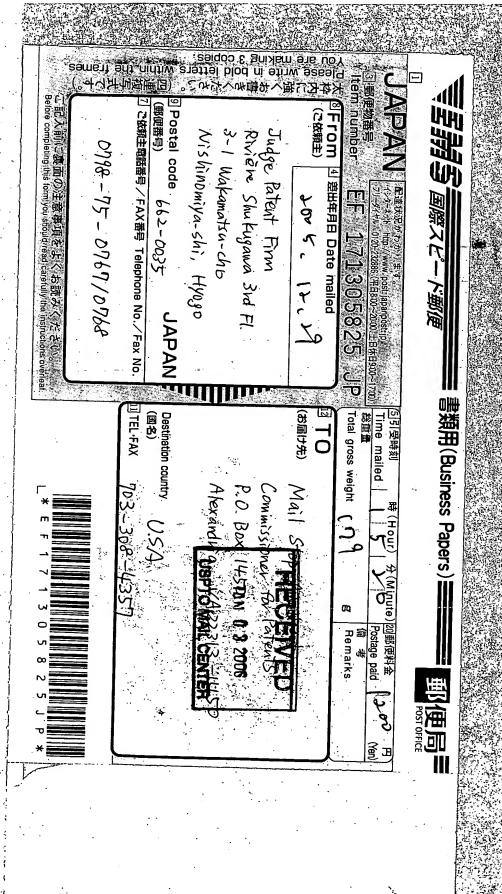
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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